

# Application Form



## New Star Global Investment Funds PLC (the “Company”)

Please complete this form in blue or black ink using BLOCK CAPITALS and return the completed Application Form together with the documentation required under the Prevention of Money Laundering Regulations:

### **By Post:**

New Star Global Investment Funds PLC, P.O. Box 8817, Dublin 1, Ireland.

### **By Courier:**

New Star Global Investment Funds PLC, Guild House, Guild Street, International Financial Services Centre, Dublin 1, Ireland.

### **By Fax (originals must follow by post or courier):**

Addressed to New Star Global Investment Funds PLC, and sent to the following fax number: +353 1 853 8536.

We will acknowledge receipt of faxes by telephone providing we have a valid contact number.

If confirmation is not received, please telephone: +353 1 853 8732.

## Important Notes

Applications for Shares must be received by the dealing team no later than 4pm (Dublin time) on the business day prior to the relevant Dealing Day for processing on a Dealing Day.

A copy of the Prospectus is available on the New Star International website: [www.newstarint.com](http://www.newstarint.com), or by contacting New Star Investment Funds (Ireland) Limited, Fleming Court, Fleming Place, Dublin 4, Ireland. Tel: +353 1 475 8000, email: [dublin@newstaram.com](mailto:dublin@newstaram.com)

Contract notes will normally be issued within 24 hours of the allocation of Shares.

Shares cannot be applied to an account and therefore cannot be redeemed, unless full details of registration have been received and appropriate anti-money laundering requirements have been complied with. This may also result in delays being incurred in the payment of income distributions (if applicable).

Distribution payment advices on Income Shares where applicable will be issued quarterly and posted to the correspondence address. Quarterly payments direct to bank accounts are subject to a minimum payment of US\$150 (or equivalent). Payments of less than the minimum will be made by cheque and posted to the correspondence address. Distributions will be paid/reinvested within 15 days after the ex-dividend date.

### **Instructions for Professional Advisors**

Professional Advisors must complete and sign Section 7 on page 8.

New Star Global Investment Funds PLC is regulated in Ireland by the Irish Financial Services Regulatory Authority, [www.ifsra.ie](http://www.ifsra.ie) and authorised by the SFC in Hong Kong. These regulatory bodies do not imply any official approval or recommendation.

# 1. Investment Details

I/We hereby apply to invest in New Star Global Investment Funds PLC, as indicated below. Settlement must be made in the currency of the selected Share class below. See Section 3.

Retail Funds	Please indicate the currency Share class that you wish to invest in.†			Subscription (including Subscription Fee) Amount*
New Star European Growth Fund	USD <input type="checkbox"/>	EUR <input type="checkbox"/>	GBP <input type="checkbox"/>	<input type="text"/>
New Star Japan Recovery Fund	USD <input type="checkbox"/>	EUR <input type="checkbox"/>	GBP <input type="checkbox"/>	<input type="text"/>
New Star Asian Opportunities Fund	USD <input type="checkbox"/>	EUR <input type="checkbox"/>	GBP <input type="checkbox"/>	<input type="text"/>
New Star Global Emerging Markets Fund	USD <input type="checkbox"/>	EUR <input type="checkbox"/>	GBP <input type="checkbox"/>	<input type="text"/>
New Star UK Dynamic Fund	USD <input type="checkbox"/>	EUR <input type="checkbox"/>	GBP <input type="checkbox"/>	<input type="text"/>
New Star US Opportunities Fund	USD <input type="checkbox"/>	EUR <input type="checkbox"/>	GBP <input type="checkbox"/>	<input type="text"/>
New Star Strategic Government Bond Fund** (Available as Income Shares only)	USD <input type="checkbox"/>	EUR <input type="checkbox"/>	GBP <input type="checkbox"/>	<input type="text"/>
New Star Pan-European Equity Fund R Class		EUR <input type="checkbox"/>		<input type="text"/>
New Star Euro High Yield Fund R Class	EUR Re-invest <input type="checkbox"/>		EUR Income <input type="checkbox"/>	<input type="text"/>

Institutional Funds				
European Growth Fund I Class	USD <input type="checkbox"/>	EUR <input type="checkbox"/>		<input type="text"/>
New Star Pan-European Equity Fund I Class	EUR <input type="checkbox"/>			<input type="text"/>
New Star Euro High Yield Fund I Class	EUR Re-invest <input type="checkbox"/>		EUR Income <input type="checkbox"/>	<input type="text"/>

† Please note access to some Share Class currencies may be restricted.

\* The minimum initial investment amount is: Retail: USD 10,000 (USD 5,000 per Fund), EUR 10,000 (EUR 5,000 per Fund) or GBP 7,000 (GBP 3,500 per Fund). Institutional: EUR 10,000,000.

\*\* Income Option for New Star Strategic Government Bond Fund:

If you wish to receive an income distribution payment for New Star Strategic Government Bond Fund, please tick this box .

If you do not tick the box, distributions will be used to purchase additional Shares upon Payment Date (no subscription fee will be charged on these additional Shares). Applicants can elect to receive income payments at a later date. Distributions will be paid quarterly to the bank account given in Section 3. Payments direct to bank accounts are subject to a minimum payment of US\$150 (or equivalent). Payments of less than the minimum will be made by cheque and posted to the correspondence address at the investor's risk. Please note that all remitting charges are deducted from the income payment.

## 2. Details of Applicant(s)

### First Applicant

If you are an existing investor in New Star Global Investment Funds PLC or The New Star Global Managed fund please provide your account details below.

Name of Account:

Fund Name:

Existing Account Number:

By completing this section, existing investors may not be required to provide anti-money laundering documentation as indicated in Section 4.

### Complete your full name and address below

Title: Mr  Mrs  Miss  Ms  Other (please specify)

Surname:

Please note that long first names may be abbreviated to accommodate the registration process.

Forename(s) in full:

Nationality:

Date of Birth:

Company Name:

Required ONLY for corporate applicants

**Permanent residential address\*:**

House Number/Name:	<input type="text"/>		
Street:	<input type="text"/>	City/Town:	<input type="text"/>
Postcode/Zip code:	<input type="text"/>	Country:	<input type="text"/>
Tel No (Business):	<input type="text"/>	Tel No (Home):	<input type="text"/>
Fax No:	<input type="text"/>	Email Address:	<input type="text"/>

**Correspondence address**

Please complete the section below if correspondence is to be sent to a different address to that set out on previous page.

Address**:	House Number/Name: <input type="text"/>		
Street:	<input type="text"/>		
City/Town:	<input type="text"/>		
Postcode/Zip Code:	<input type="text"/>	Country:	<input type="text"/>

**Joint Applicant(s)**

Details of up to three additional holders may be added to the register. Please complete details in block capitals below.  
(Not applicable to corporate applicants).

**First additional applicant details**

Details of up to three additional holders may be added to the register. Please complete details in block capitals below.

Title:	Mr <input type="checkbox"/>	Mrs <input type="checkbox"/>	Miss <input type="checkbox"/>	Ms <input type="checkbox"/>	Other (please specify) <input type="text"/>
Surname:	<input type="text"/>				
Forename(s) in full:	<input type="text"/>				
Nationality:	<input type="text"/>				

**Second additional applicant details**

Details of up to three additional holders may be added to the register. Please complete details in block capitals below.

Title:	Mr <input type="checkbox"/>	Mrs <input type="checkbox"/>	Miss <input type="checkbox"/>	Ms <input type="checkbox"/>	Other (please specify) <input type="text"/>
Surname:	<input type="text"/>				
Forename(s) in full:	<input type="text"/>				
Nationality:	<input type="text"/>				

**Third additional applicant details**

Details of up to three additional holders may be added to the register. Please complete details in block capitals below.

Title:	Mr <input type="checkbox"/>	Mrs <input type="checkbox"/>	Miss <input type="checkbox"/>	Ms <input type="checkbox"/>	Other (please specify) <input type="text"/>
Surname:	<input type="text"/>				
Forename(s) in full:	<input type="text"/>				
Nationality:	<input type="text"/>				

\* This address will appear on the Share register of the Fund. The applicant will be required to provide proof of this address as stated in Section 4. Please note that PO Boxes or 'care of' addresses should not be provided except where they are the only form of address and where PO Boxes or 'care of' addresses is normal market practice.

\*\* All correspondence will be forwarded to the first named holder only (either to the above address or a correspondence address if provided).

Additional applicants will be required to provide confirmation of residential address details for anti-money laundering verification purposes (see Section 4).



## 4. Prevention of Money Laundering Information

If you are an existing investor in New Star Global Investment Funds PLC or New Star's capital guaranteed fund range and have provided all necessary anti-money laundering documentation, it may not be necessary to enclose the information set out below in connection with this application. Please ensure that you have included your account number in Section 2 above.

Individual Applicants	
<p>The information required from individual applicants (including all additional applicants listed in Section 2 above) who are not existing investors is listed below. Please tick the boxes below to indicate that the information is enclosed with the application.</p>	
<p><b>Requirements for Personal Identification:</b></p> <p>A certified copy of a current passport or identification card that bears evidence of his/her identity. The copy passport or identification should be certified by any one of the following:</p> <ul style="list-style-type: none"> <li>– Certified or Chartered Public Accountant;</li> <li>– Notary Public or Practising Solicitor;</li> <li>– Embassy or Consular Staff;</li> <li>– A Designated Body in the EU, Australia, Canada, Channel Islands, Hong Kong, Iceland, Isle of Man, Japan, New Zealand, Norway, Singapore, Switzerland, Turkey or USA</li> </ul>	<p><b>Enclosed</b></p> <p>First applicant <input type="checkbox"/></p> <p>1st additional applicant <input type="checkbox"/></p> <p>2nd additional applicant <input type="checkbox"/></p> <p>3rd additional applicant <input type="checkbox"/></p>
<p><b>Requirements for Address Verification:</b></p> <p>Two original documents bearing evidence of his/her address such as public utility bill or bank or credit card statement or tax/revenue certificate or household insurance certificate or invoice for telephone or mobile phone. Such original documents may be no more than three months old.</p>	<p><b>Enclosed</b></p> <p>First applicant <input type="checkbox"/></p> <p>1st additional applicant <input type="checkbox"/></p> <p>2nd additional applicant <input type="checkbox"/></p> <p>3rd additional applicant <input type="checkbox"/></p>
<p>Shares cannot be applied to an account and therefore cannot be redeemed, until all the relevant information has been received. Additional confirmation of the source of funds may be required in certain circumstances.</p>	

Corporate Applicants	
<p>The information required from corporate applicants who are not existing investors is listed below. Please tick the boxes below to indicate that the information is enclosed with the application.</p>	
<p>Requirements highlighted in <b>bold</b> are the reduced set of requirements for public companies listed (or subsidiaries of public companies listed) on a stock exchange in a country, territory or organisation that is a member of the Financial Action Task Force on Money Laundering ("FATF"). A membership list can be found on <a href="http://www1.oecd.org/fatf">http://www1.oecd.org/fatf</a>. Financial Institutions regulated in FATF jurisdictions need only supply evidence of regulatory status, certificate of incorporation, copy of audited accounts and a list of authorised signatories.</p>	
<p><b>Requirement</b></p> <p><b>Copy of certificate of incorporation (and formal evidence of any name change)</b></p> <p>Evidence of Memorandum &amp; Articles of Association (or equivalent)</p> <p><b>A list of Directors containing the following information:</b></p> <p><b>Name</b></p> <p>occupation</p> <p>date of birth</p> <p>residential address and business address</p> <p>A list of Shareholders holding or controlling more than 10% of the issued Share capital or voting rights, plus their business addresses</p> <p><b>A copy of the appropriately authorised mandate for the directors to make the investment (unless authorisation granted in the company's Memorandum &amp; Articles of Association enclosed per requirement above)</b></p> <p>A copy of a bank statement for the company or a bank reference</p> <p>For at least <b>2</b> of the directors, evidence must be provided of their individual Identification and Address Verification as outlined in the requirements for "Individual Applicants"</p> <p>A list of authorised signatories</p> <p><b>A copy of the most recent set of audited accounts</b></p>	<p><b>Enclosed</b></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p>
<p>Shares cannot be applied to an account and therefore cannot be redeemed, until all the relevant information has been received. Additional confirmation of the source of funds may be required in certain circumstances.</p>	

## 5. Declarations and Signatures

### By signing on page 7:

- a) I/We hereby agree to be bound by the Prospectus dated 22 December 2005 as amended from time to time and I/we acknowledge that I/we have been offered a copy of the Prospectus together with (where applicable) the most recent annual report and accounts of the Company and (if issued after such report and accounts) its most recent unaudited semi-annual report. We acknowledge that our application is made on the terms thereof and subject to the provision of the Company Memorandum and Articles of Association and Prospectus as amended from time to time all of which are subject to Irish law and the jurisdiction of the Irish Courts. No out of court complaint/redress process is available. We acknowledge that this Prospectus may be translated into other languages in which case the translated version of the Prospectus will be a direct translation from the English version. In the event of any inconsistency or ambiguity in relation to the meaning of any word or phrase in any translation, we acknowledge that the English text shall prevail and all disputes as to the text thereof shall be governed by, and construed in accordance with the laws of Ireland.
- b) I/We confirm that I/we have reached the age of majority of my/our country of usual residence or I am/we are 18 years of age or over (whichever is older) and I/we have the authority to make the investment pursuant to this Application Form whether this investment is my/our own or is made on behalf of another person or institution.
- c) I/We agree to provide to the Company or its appointed Administrator any additional documentation that it may require to verify my/our identity in accordance with current anti-money laundering legislation. I/We acknowledge that any delay by me/us in providing such documentation may result in delayed payment of any future redemption or income payments to me/us or processing of Share transfer requests on my/our behalf by the Administrator. I/We hereby hold the Company and the Administrator harmless and indemnify them against any loss arising as a result of a failure to process the application if such information has been required and has not been provided by me/us.
- d) I/We confirm that the address I/we have provided in connection with the application is my/our residential address (or in the case of a corporate applicant, our main place of business).
- e) I/We certify that I/we am/are not a U.S. Person as defined in the Prospectus and am/are not acquiring Shares on behalf of, or for the benefit of, a U.S. Person nor do I/we intend selling or transferring any Shares which I/we may purchase to any person who is a U.S. Person.
- f) I/We hereby undertake to observe and be bound by the provisions of the Memorandum and Articles (as amended from time to time) constituting the Company and apply to be entered in the register of Shareholders as the holder/holders of the Shares issued in accordance with this application. I/we agree to be bound by the terms of the Prospectus under which this Application is made.
- g) The Investment Manager, the Distributors, and the Administrator of the Company are hereby authorised to accept and execute any instructions in respect of the Shares by me/us in written form or by facsimile or by telephone. If the instructions are given by me/us by facsimile or by telephone, I/we undertake to confirm them in writing. I/We hereby agree to indemnify each of the Investment Manager, the Distributors, and the Administrator of the Company and agree to keep each of them indemnified against any loss of any nature whatsoever arising to any of them as a result of any of them acting upon facsimile instructions. The Investment Manager, the Distributors, and the Administrator of the Company may rely conclusively upon and shall incur no liability in respect of any action taken upon any notice, consent, request, instruction or any other instrument believed in good faith to be genuine or to be signed by properly authorised persons.
- h) I/We hereby agree with the dividend policy outlined in the Prospectus.
- i) I/We agree to provide the necessary confirmations to the Company and the Administrator at such times as the Company or the Administrator may request and to provide on request such certificates or documents as the Company or the Administrator may reasonably require to substantiate such representations. Should any confirmation or warranty become inaccurate or incomplete in any way, I/we hereby agree to notify the Company or the Administrator immediately of any such change and further agree to request the redemption of Shares to which such confirmations have become incomplete or inaccurate.
- j) I/We understand that the confirmations, representations and warranties made herein are continuous and all subsequent purchases of Shares shall be governed by them and I/we agree to notify the Administrator promptly. If any statement set forth hereunder ceases to be true and accurate in all respects.
- k) (In respect of joint applicants only) we direct that on the death of one of us the Share for which we hereby apply to be held in the name of and to the order of the survivor or survivors of us or the executor or manager of such survivor or survivors.
- l) I/We hereby agree that any notice or document may be served by the Company on me/us either personally or by sending it through the post in a prepaid letter addressed to me/us at my/our address as appearing in the register or by such other method as may be agreed between the Company and me/us from time to time.
- m) I/We warrant and declare that the monies being invested pursuant to this application do not represent directly or indirectly the proceeds of any criminal activity and the investment is not designed to conceal such proceeds so as to avoid prosecution for an offence or otherwise.
- n) I/We hereby agree to indemnify and hold harmless each of the Manager, Administrator, Investment Manager, the Custodian and the Joint Distributors and their respective directors, officers and employees against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result directly or indirectly, from any misrepresentation or breach of any warranty, condition, covenant or agreement set forth herein or in any document delivered by me/us to any of the above entities and shall notify the Manager or its delegate immediately if any of the representations herein made are no longer accurate and complete in all respects.
- o) I/We consent to the disclosure of details relating to my/our Shareholding, or any details on this application form, to any of the Manager, the Administrator, the Joint Distributors, the Investment Manager or the Custodian.
- p) I/We confirm that I/we have the capacity and am/are duly authorised to make this application and to make the representations and give the indemnities referred to herein whether the investment is made on my/our own or is made on behalf of another person or institution.
- q) I/We hereby acknowledge and agree that all communications arising in connection with this application shall be in the English language unless, and only to the extent, otherwise required by law or regulation. I/We further acknowledge that the contractual terms and conditions and other information which must be given to me/us in connection with this investment shall be given in the English language, provided that the Company is entitled to give such terms, conditions and information in any other language (and, at the Company's discretion, also in English) where required to do so by law or regulation provided always that any inconsistency or ambiguity in relation to the meaning of any word or phrase in any translations, the English text shall prevail.
- r) Your financial adviser may charge your additional fees or commissions other than those disclosed in the Prospectus. Please speak with the financial adviser through whom you have purchased Shares if you have questions about these additional fees or commissions.

### Online Valuation Statements:

By checking this box, I/we agree that my/our Valuation Statement may be obtained through the fund's secure online valuation system. Registering for this system will replace hard copy statements being sent by the administrator, however, hard copies may still be available to Shareholders on request. I/We also agree that any notice or other documents may be served upon or sent by the company to me/us by electronic mail or by posting such notice on a website which is duly notified to me/us.

Where the above is not agreed, I/we acknowledge that statements of holdings will be issued on a quarterly basis, or monthly if requested in writing to the administrator.

### Declaration of residence outside the Republic of Ireland

Applicants resident outside the Republic of Ireland are required by the Irish Revenue Commissioners to make the following declaration, which is in a format authorised by them, in order to receive payment without deduction of tax. It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of Shares. **Terms used in this declaration are defined in the Prospectus.**

#### Please check a) or b)

Either:

**a)  Declaration on own behalf**

I/We\* declare that I am/we are\* applying for the Shares on my own/our own behalf/on behalf of a company\* and that I am/we are/the company is\* entitled to the Shares in respect of which this declaration is made and that I am/we are/the company is\* not currently resident or ordinarily resident in the Republic of Ireland, and should I/we/the company\* become resident in the Republic of Ireland, I/we\* will so inform you, in writing, accordingly.

or

**b)  Declaration as Intermediary**

I/We\* declare that I am/we are\* applying for Shares on behalf of persons:

- who will be beneficially entitled to the Shares; and,
- who, to the best of my/our\* knowledge and belief, are neither resident nor ordinarily resident in Ireland.

I/We\* also declare that:

- unless I/we\* specifically notify you to the contrary at the time of application, all applications for Shares made by me/us\* from the date of this application will be made on behalf of such persons; and,
- I/we\* will inform you in writing if I/we\* become aware that any person, on whose behalf I/we\* hold Shares, becomes resident in Ireland.

\* Please delete as appropriate

If you are resident or ordinarily resident in the Republic of Ireland and are an "Exempt Irish Investor" within the meaning of Section 739D(6) of the Taxes Consolidation Act 1997, please delete the declarations on own behalf and as Intermediary and contact the Administrator for a separate declaration form suitable for you.

<sup>1</sup>as defined in the Prospectus

## 6. Data Protection

Your personal information will be handled by the Administrator (as Data Processor on behalf of the Company) in accordance with the Data Protection Acts 1988 to 2003. Your information will be processed for the purposes of carrying out the services of Administrator and transfer agent of the Company and to comply with legal obligations including legal obligations under company law and anti-money laundering legislation. The Administrator or Company will disclose your information to third parties where necessary or for legitimate business interests. This may include disclosure to third parties such as auditors and the Irish Financial Services Regulatory Authority or agents of the Administrator who process the data for anti-money laundering purposes or for compliance with foreign regulatory requirements. The Applicant hereby consents to the processing of his/her information and the disclosure of his/her information as outlined above and to the Investment Manager and where necessary or in the Company's or the Administrator's legitimate interests to any company in the State Street Corporation or agents of the Administrator including companies situated in countries outside of the European Economic Area which may not have the same data protection laws as in Ireland.

Please do/do not send me any further information on other products and services.

## 7. EU Directive

### EU Residents applying for Shares in New Star Strategic Government Bond Fund/Euro High Yield Fund

#### Taxation of Savings Directive

Council Directive 2003/48/EC ('Savings Directive'), which deals with the Taxation of savings income in the form of interest payments, seeks to ensure that the individuals that are resident and "residual entities" that are established in an EU Member State or a "dependent or associated territory" who receive savings income from a paying agent in an EU Member State or a dependent or associated territory are taxed in the EU Member State or dependent or associated territory in which they are resident for tax purposes. "Dependent or associated territory" includes Aruba, Netherlands Antilles, Jersey, Guernsey, Isle of Man, Anguilla, British Virgin Islands, Cayman Islands, Montserrat, Turks and Caicos Islands and may be updated from time to time.

Date & Place of Birth:

Country of issue of Passport/official ID card\*:

Your Tax Identification Number ("TIN")  
issued by your country of residence:

Please specify the country issuing TIN:

\*Applicants who present a passport or official National ID card issued by an EU/Member State or dependent or associated territory and claim to be tax resident in a country other than that EU Member State must produce a certificate of tax residence issued by that country he/she claims to be tax resident in. If they do not, they will be treated for the purposes of the Savings Directive as tax resident in the country which issued the passport or official National Identity card presented by them.

**Note: Individuals acting as an intermediary for another person will be treated as the beneficial owner for the purposes of the Savings Directive unless they can provide appropriate evidence that this is the case.**

The following information may also be required:

#### For Individual Applicants:

A copy of your tax residency certificate issued by the tax authorities in the country in which you currently claim residency\*.

\*Relevant for applicants resident in one country whose passport or identity card is issued by another Member State of the European Union.

#### For Corporate Applicants:

A copy of the relevant certification for the purposes of exclusion of the corporate or equivalent entity from the obligations imposed by the Savings Directive.

We agree to provide to the Company or its appointed Administrator any additional documentation that it may require to verify my/our identity in accordance with the taxation of savings directive.

#### EU Savings Directive Declaration – Corporate Entities:

Council Directive 2003/48/EC of June 2003 (the "EU Savings Directive"), which deals with the taxation of savings income in the form of interest payments, seeks to ensure that individuals that are resident and "residual entities" that are established in an EU Member State or a "dependent or associated territory" who receives savings income from a paying agent in an EU Member State or dependent or associated territory are taxed in the EU Member State or dependent or associated territory in which he/she is resident for tax purposes. "Dependent or associated territory" includes Aruba, Netherlands Antilles, Jersey, Guernsey, Isle of Man, Anguilla, British Virgin Islands, Cayman Islands, Montserrat, Turks and Caicos Islands and may be updated from time to time. The Company is obliged to establish the identity and residence of such individuals. In order to exclude corporate and other entities from the identity verification process please complete the following declaration.

N.B. The Declaration is to be completed by applicants resident in an EU Member State who are **not** natural persons (i.e. not an individual, unincorporated body, unincorporated partnership or any of the foregoing acting as trustee of a trust).

### Corporate or Equivalent Entities:

Is the applicant:

(Please tick one of the following)

Certification

- |  |                          |
|--|--------------------------|
| (a) A company (including a company acting as a corporate trustee), or other legal person<br><i>(Certificate of Incorporation or document from the appropriate authority certifying that the entity is a legal person); or</i>  | <input type="checkbox"/> |
| (b) An entity taxed under the general arrangements for business taxation i.e. its profits are subjected to corporation tax or tax in another territory which corresponds to corporation tax in Ireland<br><i>(document from the appropriate Revenue authority certifying that the entity is taxed as such); or</i>                 | <input type="checkbox"/> |
| (c) A UCITS (or an equivalent collective investment undertaking in a relevant territory other than an EU Member State)<br><i>(certificate from the appropriate regulatory authority); or</i>   | <input type="checkbox"/> |
| (d) An entity that has elected to be treated as a UCITS for the purposes of the legislation<br><i>(certificate from the appropriate tax authority); or</i>   | <input type="checkbox"/> |
| (e) A pension fund (if applicable, corporate trustees to a pension fund should tick box (a))<br><i>(certificate from the appropriate regulatory authority); or</i>   | <input type="checkbox"/> |
| (f) A charity (if applicable, corporate trustees to a charity should tick box (a))<br><i>(certificate from the appropriate regulatory authority); or</i>   | <input type="checkbox"/> |
| (g) A Finnish avoin yhtiö (Ay);<br><i>(certificate from the appropriate authority); or</i>   | <input type="checkbox"/> |
| (h) A Finnish kommandiittiyhtiö (Ky)/öppet bolag;<br><i>(certificate from the appropriate authority); or</i>   | <input type="checkbox"/> |
| (i) A Finnish kommanditbolag;<br><i>(certificate from the appropriate authority); or</i>   | <input type="checkbox"/> |
| (j) A Swedish handelsbolag (HB);<br><i>(certificate from the appropriate authority); or</i>  | <input type="checkbox"/> |
| (k) A Swedish kommanditbolag (KB)<br><i>(certificate from the appropriate authority)</i>   | <input type="checkbox"/> |
| (l) A residual entity (none of the above entities)<br><i>(Only residual entities established in an EU Member State or dependent or associated territory fall within the Savings Directive. If not established in an EU Member State or dependent or associated territory, please provide evidence of country of establishment)</i> | <input type="checkbox"/> |

I/we expressly consent to the automatic disclosure of information relating to my account to the tax authorities in Ireland and in my/our country of residence in accordance with the EU Taxation of Savings Directive (2003/48/EC) and applicable local regulations. I/we agree to notify the company of any change to my/ our tax residency status.

### Signatures and Date of Application

First Applicant (Authorised Signatory):

Second Additional Applicant or third authorised signatory (if applicable):

First Additional Applicant or second authorised signatory (if applicable):

Third Additional Applicant or fourth authorised signatory (if applicable):

Application date

D	D	M	M	Y	Y	Y	Y
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Capacity of Authorised Signatory(ies) (if applicable):

## 8. Professional Advisor Confirmation

TO BE COMPLETED BY **ALL** PROFESSIONAL ADVISORS

Please confirm that all anti-money laundering requirements have been complied with and tick the relevant boxes to confirm that the appropriate documents have been provided by each applicant with this Application Form. Applications may not be accepted until all relevant documentation is received. The Fund and/or its agent(s) reserves the right to request additional documentation if it is deemed necessary.

In addition, I confirm that I have undertaken the necessary anti-money laundering procedures as required by the Terms of Business (between the Professional Advisor and the Principal Distributor) to verify the identity of the applicant and the source of the funds to be invested.

### Professional Advisor Contact Details:

Contact Name:	<input type="text"/>	
Company Name:	<input type="text"/>	
Address:	<input type="text"/>	
Email Address:	<input type="text"/>	
Tel No:	<input type="text"/>	Fax No: <input type="text"/>

### Regulatory Information:

Where an Professional Advisor is a designated body in any the following State or Countries, please include the name of the States or Country and the name of any Regulatory Authority with which it is regulated for money laundering purposes.

- |   |                                      |   |
|---|--------------------------------------|---|
| <input type="checkbox"/> Member State of the European Union | <input type="checkbox"/> Iceland     | <input type="checkbox"/> Singapore                |
| <input type="checkbox"/> Australia                          | <input type="checkbox"/> Isle of Man | <input type="checkbox"/> Switzerland              |
| <input type="checkbox"/> Canada                             | <input type="checkbox"/> Japan       | <input type="checkbox"/> Turkey                   |
| <input type="checkbox"/> Channel Islands                    | <input type="checkbox"/> New Zealand | <input type="checkbox"/> United States of America |
| <input type="checkbox"/> Hong Kong                          | <input type="checkbox"/> Norway      |   |

Please provide below the telephone number and/or the website address for the relevant Regulatory Authority and your Registration Number:

**Registration Number of Professional Advisor:**

**Regulatory Authority Name:**

**Regulatory Telephone Number:**

**Regulatory Website Address:**

**Professional Advisor's Signature:**

**Company Stamp:**

**Special Notes:**