

New Star Indian Equity Fund – Application Checklist

The New Star Indian Equity Fund is a Luxembourg-based Société d'Investissement à Capital Variable (SICAV) and as such operates different administration processes to UK-based funds. Specifically, regulations in respect of anti-money laundering differ from those normally implemented in respect of on-shore funds and require proof of identity to be provided at the time of initial investment. This checklist has been produced to assist investors and their advisers in the completion of an application for the New Star Indian Equity Fund. In order to avoid potential delays in investment, please ensure that you read the information below in addition to the details included in the appendix of the application form.

(a) Application Form

Are you using an application from titled "New Star Indian Equity Fund"? We are unable to accept generic New Star application forms for investment into this fund.

I am applying using a New Star Indian Equity Fund application form.

In addition, please ensure you complete all sections of the application form including the following **key** sections:

- Registration details for all account holders – section 1.
- Beneficial ownership – section 6.
- All account holders **must sign** the application form (section 6, immediately above the appendix).

(b) Proof of Identity

All applications must be accompanied by proof of the investor's identity. For individuals we require a **certified copy of one** of the following items. Where appropriate this should include a completed signature and be within its validity date:

- Current passport
- Current photo-card driving licence
- Residence permit or any other public document with a serial number, legibly bearing your name, nationality, date and place of birth, address and a picture that would enable positive identification.

Who can certify my documents? We request that any certified copy of a document indicating proof of identity as shown above is certified by one of the following:

- Solicitor or Lawyer
- Bank or Building Society Official
- Embassy or Consular Official
- Police Officer
- Authorised Financial Adviser

I have included appropriate documented proof of identity.

This has been certified by an individual in the list above

Financial advisers should note that unlike an investment into a New Star onshore OEIC or unit trust, we are unable to accept a Verification Certificate as proof of identity.

Payment Method

If paying by bank transfer please send your completed application together with certified proof of identity to the Luxembourg address detailed on the application form.

If investing via cheque, please make this payable to: "New Star Indian Equity Fund" and return it with your completed application form and certified proof of identity to the Chelmsford address shown on the application form. Please note that we will only accept cheque payments in Sterling.

Additional information

Investors considering transferring an investment from another plan manager into the New Star Indian Equity Fund or those wishing to switch from an existing New Star investment into the New Star Indian Equity Fund should call New Star Customer Services on 0845 608 8704 for specific instructions on transfers and switches.

You should also note that New Star does not offer the New Star Indian Equity Fund within an Individual Savings Account (ISA) although it is possible to hold the fund within an ISA through third-party platforms. Please also be aware that New Star does not offer a regular savings option for the fund.

If you have any questions relating to completion of your application to invest in the New Star Indian Equity Fund, please call New Star Customer Services on 0845 608 8704. For your protection, telephone calls are recorded and may be monitored.